

PATENT



Express Mail Label No.: EL 842426745 US

Case Docket No.: 2001 P 08586 US

Date: May 15, 2001



ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
Box PATENT APPLICATION

Transmitted herewith for filing is the patent application of:

Inventor(s): Xian J. Ning

For: A Single RIE Process for MIMCAP Top and Bottom Plates

This application includes:

13 pages: specification and claims
3 sheets of drawings, formal/ Informal
 photographs

Also enclosed is:

 An Assignment of the invention to Assignee(s):
x Infineon Technologies North America Corp.
 International Business Machines Corporation
 A certified copy of application
x Declaration and Power of Attorney
 Information Disclosure Statement pursuant to 37 CFR 1.56
 Form PTO-1449
 Form PTO-1595
x Post Cards (2)

The filing fee has been calculated as shown below:

FOR:	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA RATE	OTHER THAN A SMALL ENTITY FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXXX \$710
TOTAL CLAIMS	17 - 20 =		X \$18 = \$0
INDEP CLAIMS	3 - 3 =		X \$80 = \$0
* MULTIPLE DEPENDENT CLAIM PRESENTED			+ 270 = \$0
ASSIGNMENT(S)	# <u>1</u>		X \$40 = \$40
			TOTAL \$750.00

Infineon Technologies North America Corp.
c/o Siemens Corporation
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A fee of **40.00** to cover recordation of the Assignment(s).
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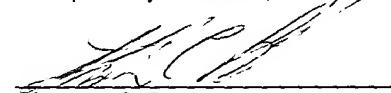
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Respectfully submitted,



Stanton C. Braden
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Date: May 11, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xian J. Ning Art Unit: TBD
Serial No.: TBD Examiner: TBD
Filed: May 15, 2001 Docket: 2001 P 08586 US

For: A Single RIE Process for MIMCAP Top and Bottom Plates

Certificate of Mailing via Express Mail

EXPRESS MAIL mailing label number: **EL 842426745 US**

Date of Deposit: May 15, 2001

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX: Patent, Assistant Commissioner for Patents, Washington, DC 20231:

- Transmittal Letter Original and 1 Duplicate (2 pages)
- Complete Copy of Patent Application (16 pages), to include:
 - Specification (13 pages)
 - Drawings (3 pages)
- Declaration and Power of Attorney (3 pages)
- Assignment Recordation Cover Sheet (1 page)
- Assignment (2 pages)
- Return Postcards (2)

Respectfully submitted,
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